

9/27/02



PATENT APPLICATION  
Docket No.: 301962.3000-100

GP 2651

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Pengfei Wu and D.V.G.L.N. Rao

Application No.: 10/077,601 Group Art Unit: 2651

Filed: February 15, 2002 Examiner: Not Assigned

Title: Optical Storage System

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231	
On <u>9/27/02</u>	<u>Jennifer Desrosiers</u>
Date	Signature
<u>Jennifer Desrosiers</u>	
Typed or printed name of person signing certificate	

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or  
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):  
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.  
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
- ☐ Listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
  - ☐ the explanation provided on the attached sheet.
  - ☐ the explanation provided in the Specification.
  - ☐ submission of the enclosed International Search Report.
  - ☐ the enclosed English language abstract.

☐ Applicant requests that the following pending applications be considered:

Examiner's  
Initials

\_\_\_\_ U.S. Patent Application No. [ ], Publication No. [ ], Publication Date [ ],  
by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_ U.S. Patent Application No. [ ], Publication No. [ ], Publication Date [ ],  
by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_ U.S. Patent Application No. [ ], Publication No. [ ], Publication Date [ ],  
by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date

☐ A copy of each above-cited application, including the current claims, is enclosed.

☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.

☐ Please charge Deposit Account 50-1935 in the amount of \$[ ]. A copy of this Statement is enclosed.

☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 50-1935.

Respectfully submitted,

BOWDITCH & DEWEY, LLP

By Monica Grewal

Monica Grewal

Registration No.: 40,056

Telephone: (508) 879-5700

Facsimile: (508) 929-3073

Framingham, Massachusetts 01701-9320

Dated: September 25, 2002

PTO-1449 REPRODUCED		INFORMATION DISCLOSURE CITATION IN AN APPLICATION		September 21, 2002		(Use several sheets if necessary)	
ATTORNEY DOCKET NO. 381962.3000-100		APPLICANT Wu et al.		FILING DATE February 15, 2002		APPLICATION NO. 10/077,691	
U.S. PATENT DOCUMENTS							
EXAM- INER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
AA	4,943,126	07/24/90	Lang et al.	350	3.69		
AB	5,039,182	08/13/91	Dube et al.	359	3.67		
AC	5,173,381	12/22/92	Natansohn et al.	430	19		
AD	5,212,572	05/18/93	Krantz et al.	359	15		
AE	5,442,433	08/15/95	Hoshino et al.	356	71		
AF	5,486,934	01/23/96	Huang	359	15		
AG	5,548,427	08/20/96	May	359	73		
AH	5,757,525	05/26/98	Rao et al.	359	108		
AI	5,838,467	11/17/98	Curtis et al.	359	3		
AJ	5,963,532	10/05/99	Hajjar	369	112		
AK	6,172,777 B1	01/09/01	Flood et al.	359	10		
AA2	6,288,986	09/11/01	McDonald et al.	369	44.23		
AB2	2001/0050786 A1	12-13-01	Curtis et al.	359	6		
FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO		
AL	WO 01/45111 A1	21-JUN-2001	PCT				
AM	EP 0 992 485 A1	12-APR-2000	Europe				
AN	WO 96/38410	05-DEC-1996	PCT				
AO	WO 99/57719	11-NOV-1999	PCT				
AP	WO 00/33142	08-JUN-2000	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
AR	Wu, P., <i>et al.</i> "Nonvolatile grating in an azobenzene polymer with optimized molecular reorientation," Applied Physics Letters, V 78;(9); Feb 26, 2001, pps 1189-1191.						
EXAMINER				DATE CONSIDERED			